

07/11/01  
JC921 U.S. PTO

# CONTINUING (\$111(a)) APPLICATION TRANSMITTAL OF INTERNATIONAL APPLICATION

(Bypass Continuation Application Under 35 U.S.C. §111(a))

Attorney Docket No.  
826.1735

First Named Inventor:  
Yoshiyuki OKADA

Title: ACCESS CONTROL APPARATUS AND METHOD FOR  
CONTROLLING ACCESS TO STORAGE MEDIUM

Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600  
concerning utility  
patent application contents.

ADDRESS TO:  
**Assistant Commissioner for Patents**  
**Box Patent Application**  
**Washington, DC 20231**

1.  Fee Transmittal Form
2.  Specification, Claims & Abstract ..... [Total Pages: 142]
3.  Drawing(s) (35 USC 113) ..... [ Total Sheets: 57 ] [FIGS. 1-60 ]
4.  Oath or Declaration ..... [ Total Pages: 3 ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))
5.  This application is filed by fewer than all the inventors named in the prior nonprovisional application.
  - a.  **DELETE** the following inventor(s) named in the prior nonprovisional application:
  - b.  The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
6.  Verified Statement Claiming Small Entity Status
7.  Incorporation by Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application (identified in Item 20), from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
8.  Application Data Sheet. See 37 C.F.R. 1.76
9.  CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)
10.  Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

11.  Assignment (cover sheet & document(s)) to Fujitsu Limited of Kawasaki, Japan for publication of assignee information under 37 CFR 1.215(b)
12.  37 CFR 3.73(b) Statement (*when there is an assignee*)  Power of Attorney
13.  English Translation Document (*if applicable*)
14.  Foreign priority benefit under 35 U.S.C. §119 is claimed.
  - a.  Certified Copy of Priority Document(s) filed in prior application No. 11-004979 .
  - b.  Certified Copy of Priority Document(s) enclosed.
  - c.  Certified Copy of Priority Document(s) to follow.
15.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
16.  Preliminary Amendment  enclosed herewith.
17.  Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)
18.  Request and Certification for Nonpublication under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
19.  Other:

**20. CONTINUING APPLICATION**, check appropriate box and supply the requisite information below:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: PCT/JP99/05679. This continuing application is filed under 35 U.S.C. §111(a), based upon International Application PCT/JP99/05679, filed October 14, 1999, it being further noted that priority is based upon Japanese Patent Application 11-004979, filed January 12, 1999.

**21. NEW CORRESPONDENCE ADDRESS CUSTOMER NO. 21,171**



21171

PATENT TRADEMARK OFFICE

**22. SIGNATURE OF ATTORNEY OR AGENT**

NAME	James D. Halsey, Jr.	REGISTRATION NO.	22,729
SIGNATURE		DATE	July 10, 2001

<b>NEW APPLICATION FEE TRANSMITTAL</b>		Attorney Docket No.	826.1735		
		Application Number			
		Filing Date	July 10, 2001		
AMOUNT ENCLOSED	\$ 2076.00	First Named Inventor	Yoshiyuki OKADA		

**FEE CALCULATION** (fees effective 10/01/00)

MS	CLAI	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS	27	- 20 =	7	X \$ 18.00 =	\$ 126.00
	INDEPENDENT CLAIMS	18	- 3 =	15	X \$ 80.00 =	1200.00
	MULTIPLE DEPENDENT CLAIMS (any number; if applicable)				+ \$270.00 =	
					BASIC FILING FEE	710.00
					Total of above Calculations =	\$ 2036.00
	Surcharge for late filing fee, Oath or Declaration (37 CFR 1.53(f)) (\$130.00)					
	Reduction by 50% for filing by small entity (37 CFR 1.27).					
					TOTAL FILING FEE =	\$ 2036.00
	Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d))					
	Recordation of Assignment (\$40.00; 37 CFR 1.21(h))					40.00
					TOTAL FEES DUE =	\$ 2076.00

**METHOD OF PAYMENT**

Check enclosed as payment.  
 Charge "TOTAL FEES DUE" to the Deposit Account No. below.  
 No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date).

**GENERAL AUTHORIZATION**

If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:

Deposit Account No.

19-3935

Deposit Account Name

STAAS & HALSEY  
LLP

The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.

**SUBMITTED BY: STAAS & HALSEY LLP**

Typed Name	James D. Halsey, Jr.	Reg. No.	22,729
Signature		Date	July 10, 2001

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#2  
Attorney Docket No. 826.1735JC997 U.S. PTO  
09/901605  
07/11/01  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:

Yoshiyuki OKADA

Application No.:

Group Art Unit:

Filed: July 10, 2001

Examiner:

For: ACCESS CONTROL APPARATUS AND METHOD FOR CONTROLLING ACCESS TO  
STORAGE MEDIUM**SUBMISSION OF CERTIFIED COPY OF PRIOR FOREIGN  
APPLICATION IN ACCORDANCE  
WITH THE REQUIREMENTS OF 37 C.F.R. §1.55**Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. §1.55, the applicant(s) submit(s) herewith a certified copy of the following foreign application:

Japanese Patent Application No. 11-004979

Filed: January 12, 1999

It is respectfully requested that the applicant(s) be given the benefit of the foreign filing date(s) as evidenced by the certified papers attached hereto, in accordance with the requirements of 35 U.S.C. §119.

Respectfully submitted,

STAAS &amp; HALSEY LLP

By:

James D. Halsey, Jr.  
Registration No. 22,729Date: July 10, 2001700 11th Street, N.W., Ste. 500  
Washington, D.C. 20001  
(202) 434-1500